

March 25, 2011

Ms. Neera Chatterjee Office of General Counsel The University of Texas System 201 West Seventh Street Austin, Texas 78701

OR2011-04115

Dear Ms. Chatterjee:

You ask whether certain information is subject to required public disclosure under the Public Information Act (the "Act"), chapter 552 of the Government Code. Your request was assigned ID# 412458 (OGC# 134851).

The University of Texas Southwestern Medical Center at Dallas (the "university") received a request for (1) information pertaining to employees, or third party members paid by the university, who participated in blogging activities; (2) documents stating medical staff "do not have to directly see patients with residents or do not have to directly supervise residents in the care of patients[;]" and (3) specified records pertaining to the billing of physician services under Medicare Part B. You state the university does not have any information responsive to categories (1) or (2). You claim portions of the requested medical billing records are not subject to the Act. You also claim the requested medical billing records are excepted from disclosure under sections 552.101 and 552.103 of the Government Code. We have considered your arguments and reviewed the submitted representative sample of information.<sup>2</sup>

Initially, you argue that, pursuant to section 181.006 of the Health and Safety Code, the portions of the requested billing records you have marked are not subject to the Act.

<sup>&</sup>lt;sup>1</sup>The Act does not require a governmental body that receives a request for information to create information that did not exist when the request was received. *See Econ. Opportunities Dev. Corp. v. Bustamante*, 562 S.W.2d 266 (Tex. Civ. App.—San Antonio 1978, writ dism'd); Open Records Decision Nos. 605 at 2 (1992), 563 at 8 (1990), 555 at 1-2 (1990), 452 at 3 (1986), 362 at 2 (1983).

<sup>&</sup>lt;sup>2</sup>We assume the "representative sample" of records submitted to this office is truly representative of the requested records as a whole. *See* Open Records Decision Nos. 499 (1988), 497 (1988). This open records letter does not reach, and, therefore, does not authorize the withholding of, any other requested records to the extent those records contain substantially different types of information than that submitted to this office.

Section 181.006 states "[f]or a covered entity that is a governmental unit, an individual's protected health information . . . is not public information and is not subject to disclosure under [the Act]." Health & Safety Code § 181.006(2). We will assume, without deciding, the university is a covered entity. Section 181.006(2) does not remove protected health information from the Act's application, but rather states this information is "not public information and is not subject to disclosure under [the Act]." We interpret this to mean a covered entity's protected health information is subject to the Act's application. Furthermore, this statute, when demonstrated to be applicable, makes confidential the information it covers. Thus, we will consider your arguments for this information, as well as for the remaining information.

Section 552.101 of the Government Code excepts from disclosure "information considered to be confidential by law, either constitutional, statutory, or by judicial decision." Gov't Code § 552.101. This section encompasses information made confidential by other statutes, such as section 161.032 of the Health and Safety Code, which provides, in relevant part:

- (a) The records and proceedings of a medical committee are confidential and are not subject to court subpoena.
- (c) Records, information, or reports of a medical committee . . . and records, information, or reports provided by a medical committee . . . to the governing body of a public hospital . . . are not subject to disclosure under [the Act].

(f) This section and Subchapter A, Chapter 160, Occupations Code, do not apply to records made or maintained in the regular course of business by a hospital, health maintenance organization, medical organization, university medical center or health science center, hospital district, hospital authority, or extended care facility.

Health & Safety Code § 161.032(a), (c), (f) (footnote omitted). Section 161.031(a) defines a "medical committee" as "any committee . . . of . . . (3) a university medical school or health science center[.]" *Id.* § 161.031(a)(3). Section 161.0315 provides "[t]he governing body of a hospital [or] university medical school or health science center . . . may form . . . a medical committee, as defined by Section 161.031, to evaluate medical and health care services[.]" *Id.* § 161.0315(a).

The precise scope of the "medical committee" provision has been the subject of a number of judicial decisions. See, e.g., Memorial Hosp.—The Woodlands v. McCown, 927 S.W.2d 1 (Tex. 1996); Barnes v. Whittington, 751 S.W.2d 493 (Tex. 1988); Jordan v. Fourth Supreme Judicial Dist., 701 S.W.2d 644 (Tex. 1986). These cases establish "documents generated by

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the committee in order to conduct open and thorough review" are confidential. This protection extends "to documents that have been prepared by or at the direction of the committee for committee purposes." *Jordan*, 701 S.W.2d at 647-48. Protection does not extend to documents "gratuitously submitted to a committee" or "created without committee impetus and purpose." *Id.* at 648; *see also* Open Records Decision No. 591 (1991) (construing, among other statutes, statutory predecessor to section 161.032).

You state the University & Professional Billing Compliance Committee (the "UPBCC") and the Medical Service Research & Development Plan ("MSRDP")—Compliance, Ethics, and Professional Affairs Committee ("CEPAC") are medical committees tasked with reviewing and commenting on records that reflect billed medical procedures and diagnoses. Based on your representations, we agree the UPBCC and the CEPAC constitute medical committees as defined by section 161.031. You state the requested billing records are generated by the university's Office of Billing Compliance at the direction of the UPBCC and the CEPAC. You further explain the records at issue are reviewed and finalized by the UPBCC and the CEPAC before being forwarded to, and presented at, the monthly meeting of the MSRDP Board and the University Hospital Board. Based on your representations and our review, we agree the requested billing records are medical committee records that have been prepared by, or at the direction of, the UPBCC and the CEPAC for committee purposes. Accordingly, the university must withhold the requested records under section 552.101 of the Government Code in conjunction with section 161.032 of the Health and Safety Code. As our ruling is dispositive, we need not address your remaining arguments against disclosure.

This letter ruling is limited to the particular information at issue in this request and limited to the facts as presented to us; therefore, this ruling must not be relied upon as a previous determination regarding any other information or any other circumstances.

This ruling triggers important deadlines regarding the rights and responsibilities of the governmental body and of the requestor. For more information concerning those rights and responsibilities, please visit our website at <a href="http://www.oag.state.tx.us/open/index\_orl.php">http://www.oag.state.tx.us/open/index\_orl.php</a>, or call the Office of the Attorney General's Open Government Hotline, toll free, at (877) 673-6839. Questions concerning the allowable charges for providing public information under the Act must be directed to the Cost Rules Administrator of the Office of the Attorney General, toll free, at (888) 672-6787.

Sincerely,

Leah B. Wingerson

Assistant Attorney General

Leah B. Wingerson

Open Records Division

LBW/dls

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Enc. Submitted documents

Requestor (w/o enclosures) c: